

Penallta House,
Tredomen Park,
Ystrad Mynach,
Hengoed CF82 7PG

Ty Penallta,
Parc Tredomen,
Ystrad Mynach,
Hengoed CF82 7PG



www.caerphilly.gov.uk
www.caerffili.gov.uk

For all enquiries relating to this agenda please contact Julie Lloyd
(Tel: 01443 864246 Email: lloydj4@caerphilly.gov.uk)

Date: 15th April 2021

Dear Sir/Madam,

A digital meeting of the **Governance and Audit Committee** will be held via Microsoft Teams on **Tuesday, 20th April, 2021 at 2.00 pm** to consider the matters contained in the following agenda. You are welcome to use Welsh at the meeting, a minimum notice period of 3 working days is required should you wish to do so.

This meeting will be recorded and made available to view via the Council's website, except for discussions involving confidential or exempt items. Therefore the images/audio of those individuals speaking will be publicly available to all via the recording on the Council website at www.caerphilly.gov.uk

Yours faithfully,

A handwritten signature in black ink, appearing to read 'Christina Harrhy'.

Christina Harrhy
CHIEF EXECUTIVE

A G E N D A

- | | Pages | |
|---|-----------------------------------|--|
| 1 | To receive apologies for absence. | |
| 2 | Declarations of Interest. | |

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

A greener place Man gwyrdach



To approve and sign the following minutes: -

- | | | |
|---|--|--------|
| 3 | Audit Committee held on 26th January 2021. | 1 - 8 |
| 4 | Special Audit Committee held on 19th March 2021. | 9 - 12 |

To receive and consider the following reports: -

- | | | |
|----|--|---------|
| 5 | Governance and Audit Committee Forward Work Programme. | 13 - 16 |
| 6 | Update from Audit Wales. | 17 - 22 |
| 7 | 2021 Audit Plan - Caerphilly County Borough Council. | 23 - 42 |
| 8 | Internal Audit Services: Annual Audit Plan 2021/22. | 43 - 50 |
| 9 | Update on Tracking of Agreed Audit Report Recommendations. | 51 - 56 |
| 10 | CCBC Response to 'Raising our Game - Tackling Fraud in Wales'. | 57 - 66 |
| 11 | Public Interest Test - Exempt Item. | |

To receive and consider the following report which in the opinion of the Proper Officer may be discussed when the meeting is not open to the public and first to consider whether the public interest requires that the meeting should be closed to the public for consideration of this item.

67 - 68

- | | | |
|----|--|---------|
| 12 | Cyber Resilience in the Public Sector. | 69 - 90 |
|----|--|---------|

To receive and note the following information items: -

- | | | |
|----|--|-----------|
| 13 | Certification of Grants and Returns 2019-20 - Caerphilly County Borough Council. | 91 - 102 |
| 14 | Officer's Declarations of Gifts and Hospitality October to December 2020. | 103 - 108 |
| 15 | Regulation of Investigatory Powers Act 2000. | 109 - 110 |

**If a member of the Governance and Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Julie Lloyd, 01443 864246, by 10.00 a.m. on Tuesday 20th April 2021.*

Circulation:

Councillors M.A. Adams, Mrs E.M. Aldworth, J. Bevan, C.J. Cuss, Ms J. Gale, D.T. Hardacre, C.P. Mann, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), G. Simmonds and J. Simmonds

Lay Member: Mr N.D. Yates (Vice Chair)

And Appropriate Officers

HOW WE WILL USE YOUR INFORMATION

Those individuals that attend committee meetings to speak/give evidence will be named in the minutes of that meeting, sometimes this will include their place of employment or business and opinions expressed. Minutes of Meetings including details of speakers will be publicly available to all via the Council website at www.caerphilly.gov.uk. except for discussions involving confidential or exempt items.

You have a number of rights in relation to your information, including the rights of access to information we hold about you and the right of complaint if you are unhappy with the way your information is being processed.

For further information on how we process your information and your rights please view the Full Committee Meetings Privacy Notice on our [website](#) or contact Legal Services by email griffd2@caerphilly.gov.uk or telephone 01443 863028.